#3 Priority Dac L. Nelson 11/13/00

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bruce Henry Garvie

Group Art Unit:

Serial No.

: 09/584,375

Examiner:

Filed

: 05/31/00

Title

: CLEAT FOR FOOTWEAR



Commissioner of Patents and Trademarks BOX PCT Washington, D.C. 20231

Sir:

Applicant hereby submits a certified copy of South African Provisional Patent Application 99/3671 for use as a priority document in the above-referenced patent application.

Respectfully submitted,

John L. Welsh

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Docket No.GAR-001



REPUBLIEK VAN SUID-AFRIKA



REPUBLIC OF SOUTH AFRICA

Certificate

PATENT OFFICE

DEPARTMENT OF TRADE AND INDUSTRY

Hiermee word gesertifiseer dat This is to certify that

THE ATTACHED DOCUMENTS ARE TRUE COPIES

OF THE SPECIFICATION OF PROVISIONAL PATENT NO. 99/3671

AS FILED IN THE SOUTH AFRICAN PATENT OFFICE ON 31 .05.1999

PROPRIETOR: GARVIE : BRUCE HENRY

ENTITLED : SPORTS EQUIPMENT.

Geteken te Signed at PRETORIA

in die Republiek van Suid-Afrika, hierdie in the Republic of South Africa, this

dag van day of

June . 2000

Registrateur van Patente Registrar of Patents

TEPUBLIC OF SOUTH AFRICA E EPUBLIC OF SOUTH AFRICA APPLICATION FOR A PATENT AND ACKNOWLEDGEMENT OF RECEIPT (Section 30(1) Regulation 22) - 31. 5.99 PATENT APPLICATION NO **FULL NAME(S) OF APPLICANT(S) GARVIE, Bruce Henry** ADDRESS(ES) OF APPLICANT(S) 303 Jubilee Drive, Northcliff, Gauteng, Republic of South Africa RECISTRANCE COLLEGE CONTRACTOR OF THE TRACTOR MARKS AND COPYRIGHT PRIVATE BAG:PRIVAATSAK X400 TITLE OF INVENTION 1999 -05- 3 1 " SPORTS EQUIPMENT " Only the items marked with an "X" in the blocks below are applicable. PRETORIA 0001 THE APPLICANT CLAIMS PRIORITY AS SET OUT ON THE ACCOMPANYING FOR MEGISTAL PROPERTY AS HANDELSMERKE EN OUTEURSRE No: Country: THE APPLICATION IS FOR A PATENT OF ADDITION TO PATENT APPLICATION NO THIS APPLICATION IS A FRESH APPLICATION IN TERMS OF SECTION 37 AND BASED ON APPLICATION NO THIS APPLICATION IS ACCOMPANIED BY: A single copy of a provisional specification of 8 pages Drawings of 3 sheets Publication particulars and abstract (Form P.8 in duplicate) (for complete only) of the drawings (if any) for the abstract (for complete only) A copy of Figure An assignment of invention Certified priority document(s). (State quantity) Translation of the priority document(s) An assignment of priority rights A copy of Form P.2 and the specification of RSA Patent Application No Form P.2 in duplicate A declaration and power of attorney on Form P.3 Request for ante-dating on Form P.4 Request for classification on Form P.9 Request for delay of acceptance on Form P.4 Extra copy of informal drawings (for complete only) ADDRESS FOR SERVICE: Adams & Adams, Pretoria Dated this 31st day of May 1999

ILZE NĂCHENIUS ADAMS & ADAMS APPLICANTS PATENT ATTORNEYS

The duplicate will be returned to the applicant's address for service as proof of lodging but is not valid unless endorsed with official stamp

A&A P201

OFFICIAL DATE STAMP GISTRAGO PARTE STAMP RADE MARKS AND COPYRISH

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1999 -05- 3 1

PRETORIA 0001

REGISTRATEUR VAN PATENTE, MODELLE HANDELSMERKE EN SUTEURSREG

## REPUBLIC OF SOUTH AFRICA PATENTS ACT, 1978

#### DECLARATION AND POWER OF ATTORNEY

	(Section 30 - Regulation	on 8, 22(i)(c) and 33)	
PATENT APPLICATION NO A	&A Ref: V134	23 IN	LODGING DATE
21 01 993671	•		22 31 May 1999
FULL NAME(S) OF APPLICANT(S)	7		- · · · · · · · · · · · · · · · · · · ·
GARVIE, Bruce Henry	<b>V</b>		
FULL NAME(S) OF INVENTOR(S)			
GARVIE, Bruce Hen	ary	:	
EARLIEST PRIORITY CLAIMED	COUNTRY	NUMBER	DATE
	33 NIL	31 NIL	32NIL

SPORTS EQUIPMENT I/Wa

NOTE: The country must be indicated by its International Abbreviation - see schedule 4 of the Regulations

hereby declare that :-

TITLE OF INVENTION

1. I/we am/are the applicant(s) mentioned above;

Bruce Henry Garvie

- 2. -I/we have been authorized by the applicant(s) to make this stated in the capacity of
- the inventor(e) of the abovementioned invention is/are-the person(s) named above and the applicant(s) has/h 3. acquired the right to apply by virtue of an assignment from the inventor(s);
  - to the best of my/our knowledge and belief, if a patent is granted on the application, there will be no lawful ground for the revocation of the patent;
- this is a convention application and the earliest application from which priority is claimed as set out above is the first application in a convention country in respect of the invention claimed in any of the claims; and
  - the partners and qualified staff of the firm of ADAMS & ADAMS, patent attorneys, are authorised, jointly and 6. severally, with powers of substitution and revocation, to represent the applicant(s) in this application and to be the address for service of the applicant(s) while the application is pending and after a patent has been granted on the application.

SIGNED THIS 24th DAY OF May

BRUCE HENRY GARVIE

(no legalization necessary)

In the case of application in the name of a company, partnership or firm, give full names of signatory/signatories, delete paragraph 1, and enter capacity of each signatory in paragraph 2.

If the applicant is a natural person, delete paragraph 2.

If the right to apply is not by virtue of an assignment from the inventor(s), delete 'an assignment from the inventor(s)' and give details of acquisition of right.

For non-convention applications, delete paragraph 5.

FORM P6

ADAMS & ADAMS PATENT ATTORNEYS PRETORIA

REPUBLIC OF SOUTH AFRICA Patents Act, 1978

# PROVISIONAL SPECIFICATION

(Section 30 (1) - Regulation 27)

21 01 OFFICIAL APPLICATION NO

22 LODGING DATE

993671

31 May 1999

71 FULL NAME(S) OF APPLICANT(S)

GARVIE, Bruce Henry

72 | FULL NAME(S) OF INVENTOR(S)

GARVIE, Bruce Henry

54 | TITLE OF INVENTION

" SPORTS EQUIPMENT "

This invention relates to sporting equipment. More particularly, this invention relates to an insert for a cleat for an article of footwear, a cleat for an article of footwear, and a method of manufacturing a cleat for an article of footwear.

According to a first aspect of the invention, there is provided an insert for a cleat for an article of footwear, the insert including

a stem portion

an engagement means defined at a first end of the stem portion for releasable engagement with a complimentary engagement formation defined on an undersole of the article of footwear;

a securing formation extending from the stem portion for securing a traction member-to-the-stem portion;

with at least the stem portion being formed of a synthetic plastics material having a hardness in the region of between 75MPa and 85MPa.

The insert may be integrally moulded in an injection moulding process.

The synthetic plastics material may be a polyamide such as nylon, or the like.

The securing formation may be in the form a skirt or flange which extends substantially orthogonally from the stem portion. The flange may be spaced from

a second end of the stem portion. A plurality of circumferentially spaced apertures may be defined in the flange portion.

The stem portion may be in the form of a round cylindrical element, with the engagement means being in the form of an external screw thread which is defined on the element for engagement with an internal screw thread which is defined in a complementary socket in the undersole of the article of footwear.

According to a second aspect of the invention, there is provided a cleat for an article of footwear, the cleat including

an insert as described above; and

a traction member which is secured to the securing formation of the insert.

The traction member may be of a resiliently deformable synthetic plastics material and be formed about the insert in an injection moulding process, so that the securing formation and the second end of the stem are encased in the traction member, with the first end of the stem portion, on which the engagement means is defined, protruding from the traction member. The synthetic plastics material may be polyurethane, or the like.

It will be appreciated that, because of both the insert and the traction member are formed of synthetic plastics materials, that they will bond in the injection moulding process.

The traction member may be substantially round when viewed from above, having a substantially planar upper surface, with the first portion of the stem projecting from the upper surface so that the upper surface in use abuts the undersole of the article of footwear. A plurality of traction formations may be defined on a bottom surface of the traction member. The traction formations may be in the form of spaced apart spikes.

A central traction formation or spike may be defined on the bottom surface of the traction member. It will be appreciated that the central traction formation will be aligned with the second end of the stem of the insert. In a preferred embodiment of the invention, the second end of the insert extends into the central traction formation.

The traction member may be of a different colour to the insert. It will further be appreciated that, when the central traction formation is worn away by use, at least a part of the second end of the insert will be visible. This may be used to serve as a wear indicator, to indicate to a user of the article of footwear when to replace the cleat.

According to a third aspect of the invention, there is provided a method of manufacturing a cleat for an article of footwear, the method including the steps of

forming an insert as described above in an a first step of an injection moulding process; and

forming a traction member about the insert in a second step of the injection moulding process.

The invention is now described, by way of example, with reference to the accompanying drawings.

In the drawings,

and

Figure 1 shows a schematic side view of an insert, in accordance with a first aspect of the invention, for a cleat for an article of footwear;

Figure 2 shows a schematic side sectioned view taken at II-II of Figure 4, of a cleat, in accordance with a second aspect of the invention, for use with an article of footwear;

Figure 3 shows a schematic plan view of a first embodiment of the cleat;

Figure 4 shows a schematic plan view of a second embodiment of the cleat;

Figure 5 shows a schematic plan view of a third embodiment of the cleat; and

Figure 6 shows a schematic plan view of a fourth embodiment of the cleat.

In Figure 1, reference numeral 10 generally indicates an insert, in accordance with the invention, for a cleat for an article of footwear.

The insert 10 includes a stem portion 12 with an engagement means in the form of an external screw thread 14 being defined on a first end 16 of the

stem portion 12 for releasable engagement with a complimentary engagement formation of an undersole of the article of footwear or golf shoe(not shown).

The insert 10 also includes a securing formation in the form a skirt or flange 18 which extends substantially orthogonally from a second end 20 of the stem portion 12. The flange 18 has radially extending limbs 22, as shown on Figures 3 to 5 of the drawings in dotted lines. Four circumferentially spaced apart apertures 24 are defined in the flange 18.

The insert 10 is formed of a synthetic plastics material in the form of an unreinforced impact modified PA6 grade plastics material with low density, such as obtainable form BASF South Africa under the trade name " Ultramid B3Z" and having a ball indentation hardness of 80MPa. The insert 10 is integrally moulded in a first step of an injection moulding process.

Referring now to Figures 2 to 5 of the drawings; a cleat, in accordance with a second aspect of the invention, for use with an article of footwear or golf shoe, is generally indicated by the reference numeral 23.

Each cleat 26 includes the insert 10 as shown in Figure 1 and a traction member 28 which is secured to the securing formation 18 of the insert 10. The traction member 28 is of a resiliently deformable synthetic plastics material and is formed about the insert 10 in an injection moulding process, so that the securing formation 18 and the second end 20 of the stem portion 12 are encased in the

traction member 28, with the first end 16 of the stem portion 12, on which the screw thread 14 is defined, protruding from the traction member 28.

It will be appreciated that, because of both the insert 10 and the traction member 28 are formed of synthetic plastics materials, that they will bond in the injection moulding process.

Each traction member 28 is substantially circular in plan view, having a substantially planar upper surface 30 (as shown in Figure 2 of the drawings), which in use abuts the undersole of the shoe. A plurality of traction formations or spikes 32 is defined on a bottom surface 34 of each traction member 28. The spikes 32 are triangular in shape (figure 4), rhombohedrical (Figure 3), or circular (figure 5) or wedge-like (figure 6). Each spike 32 has a substantially planar contact portion 36 to enhance wear.

each traction member 28, the central traction formation 38 being aligned with the second end 20 of the stem portion 12 of the insert 10.

The traction member 28 may be of a different colour to the insert 10. Thus, when the central traction formation 38 is worn away by use, a part of the second end 20 of the insert will be visible. This feature serves as a wear indicator, alerting a user of the shoe to replace the cleat 26.

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A pair of openings 40 is defined in each traction member 28 which allows

teeth of a tightening member to be inserted for insertion and removal of the cleat

26 from the shoe in use.

In use, the cleat 26 is engaged to the undersole of the shoe by screwing

the first end 16 of the stem portion 12 of the insert 10 into a complimentary

socket defined in the shoe.

The applicant believes that the invention, as herein described with

reference to the drawings, has several advantages. The cleat 26 is formed in a

single, economic process. The insert 10 is formed from a plastics material which

is of sufficient hardness to alleviate past problems experienced with stripping of

a thread on the stem. Because both the insert 10 and the traction member 28 are

formed of synthetic plastics materials, they bond in the manufacturing process,

which alleviates problems experienced with prior art cleats where a metal insert is

used and insufficient bonding between the insert in the traction member creates

problems when torque is applied to the cleat in the insertion and removal of the

cleat from the shoe in use. Also, the second end 20 of the insert 10 projects into

and is aligned with the central traction formation 38 of the traction member 28,

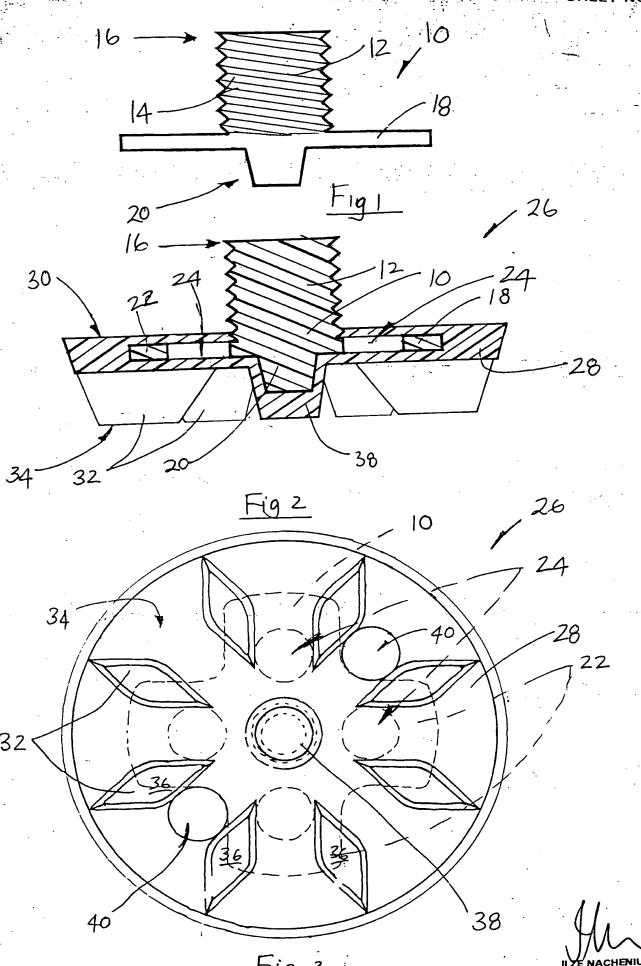
thereby providing stability in a central region of the cleat 26 and also serving as a

convenient wear indicator.

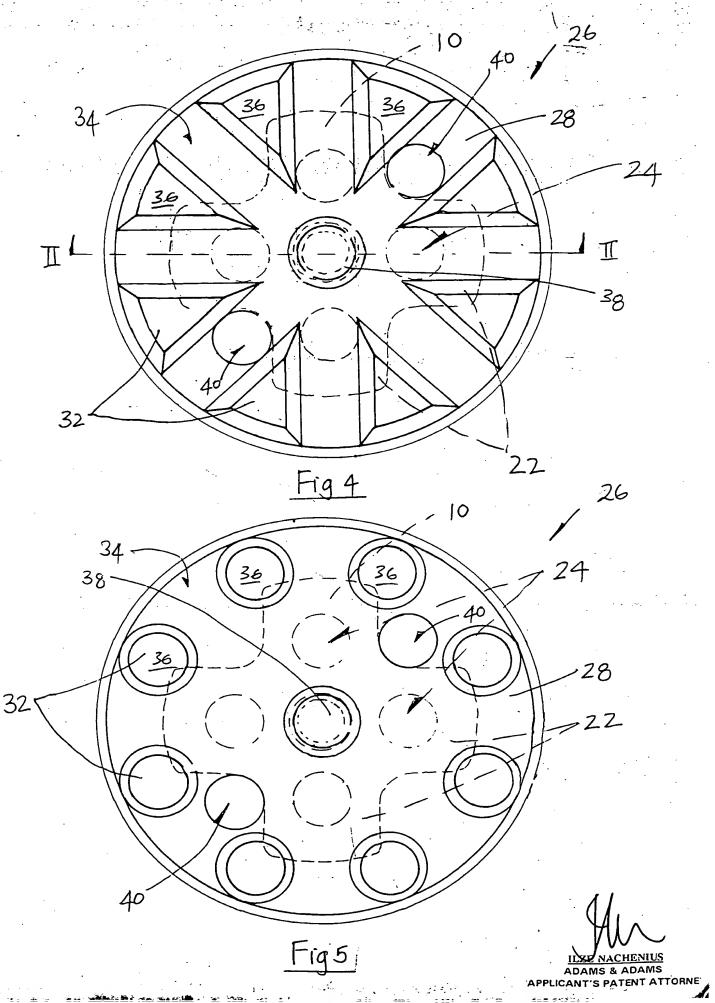
DATED THIS 31ST DAY OF MAY 1999

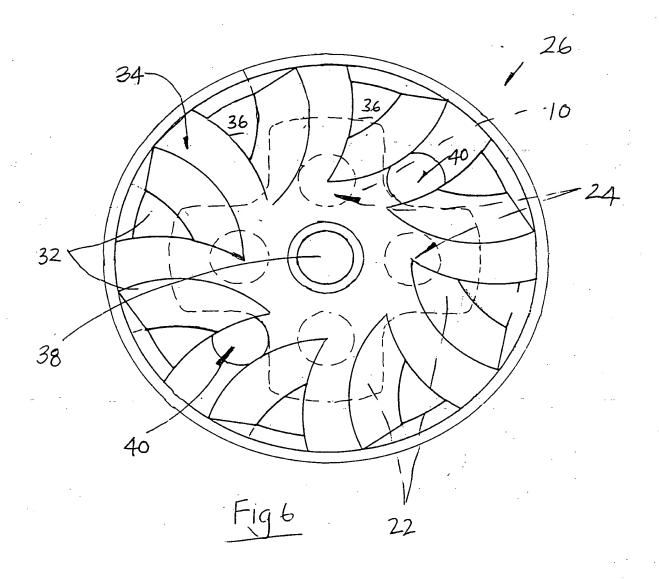
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